



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

IQTT MINIQUEST INVESTING COMPETITION

“YOUR CHRISTMAS POT OF GOLD”

GUIDELINES

1. The Competition is open to all Nationals of Trinidad and Tobago, except registered market participants and previous competition winners and their immediate relatives¹.
2. Staff of TTSEC and their immediate family members are not eligible to participate in the Competition.
3. Participants/players must be 18 years and over.
4. The Competition runs from **October 28 to November 29, 2024**.
5. The Competition will be promoted on the TTSEC’s digital and social media platforms and through email marketing.
6. Registration is open from **October 28 to November 5, 2024**.

6.1 Participants/players to be eligible for prizes must be actively trading² a minimum of **once per week** of the competition period which runs for five weeks:

- Week 1 - October 28th;
- Week 2 - November 4th;
- Week 3 - November 11th;
- Week 4 - November 18th;
- Week 5 - November 25th.

¹Section 4(1) of the Securities Act: *“relative”, in respect of any person, means the spouse, a cohabitant as defined in the Cohabital Relationships Act, parent, grandparent, brother, sister, children, the children of a cohabitational relationship, adopted children and step-children of the person”*

² Executing at least one buy or sell transaction on the stock market simulator during a trading session. The transactions should involve real-time or simulated buying or selling of stocks or other securities listed in the competition.

Participants must ensure they engage in trading activities at least once within any given week. Simply holding a position without any buy/sell action would not count as active trading for that day.

6.2 A participant/player's failure to actively trade as specified in **6.1** will result in **disqualification** from the prize winnings.

7. Market Scenarios/News Alerts will be issued throughout the period of the Competition.
8. All participants/players are urged to manage their investment portfolio wisely to ensure they maximise on their investment options.
9. The Virtual Leaderboard will be visible to all participants/players, which will allow them to monitor their progress and that of the competitors, throughout the Competition.
10. Winners will be determined and announced via social media within 48-hours of the closure of the Competition. Note, TTSEC's decision is final.
11. Winners will be highlighted in the media and/or future publications of the TTSEC.
12. All winners will be required to sign a legal agreement consenting to the terms and conditions of the Competition.
13. All winners must provide Valid Identification and Proof of Age and Nationality upon request, failing which they may forfeit their prize.
14. All prizes will be in the form of a Voucher, to be invested in the local securities market.
15. Prizes are non-transferable.
16. Proof of Investment of the prize must be submitted to TTSEC within three (3) months of the investment voucher being assigned.
17. The TTSEC reserves the right to refuse, reject or disqualify any participant/player found to be in violation of the Guidelines of the Competition.
18. The TTSEC is not responsible for any technical issues, such as system downtime or internet connectivity problems, that may affect a participant's performance or progress in the Competition.
19. The TTSEC reserves the right to amend the Guidelines at any time. Any changes will be communicated via the TTSEC's official social media channels.
20. All participants/players are subject to the Guidelines of the TTSEC Mini Quest Investing Competition.

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